

Practitioner's Docket No.: 789\_117

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Yukihiisa TAKEUCHI, Tsutomu NANATAKI, Iwao OHWADA and Nobuyuki KOKUNE

Ser. No.: 10/671,763

Art Unit: 2879

Filed: September 26, 2003

Examiner: Anthony T. Perry

Confirmation No.: 9308

For: LIGHT EMISSION DEVICE AND FIELD EMISSION DISPLAY HAVING SUCH LIGHT EMISSION DEVICES

Mail Stop Amendment  
Commissioner for Patents

P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 3, 2004 under "EXPRESS MAIL" mailing label number EV 53917 4350 US.

Jane M. Stevens

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97(b)

Sir:

The information disclosure statement transmitted herewith is being filed:

- ☐ within three months of the filing date of this national application.
- ☐ within three months of the date of entry of the national stage as set forth in §1.491 in an international application.
- ☒ before the mailing date of the first Office action on the merits.
- ☐ before the mailing of a first Office Action after the filing of a request for continued examination under §1.114.

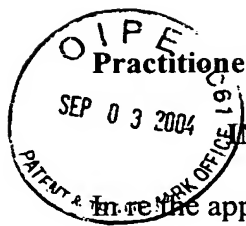
Respectfully submitted,

September 3, 2004  
Date

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*Janet M. Stevens*  
Janet M. Stevens

**INFORMATION DISCLOSURE STATEMENT**  
**AND IDENTIFICATION OF COPENDING APPLICATIONS**

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Required copies of the references listed on Form PTO-1449 are attached.

In compliance with the concise explanation requirement under 37 CFR § 1.98(a)(3) for foreign language documents, a copy of the English-language translation for Reference CM will be filed as soon as it is available.

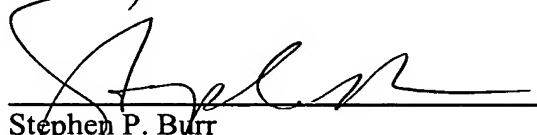
The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the

"References Cited" on any patent to issue therefrom.

The attention of the Patent Office is also drawn to the related copending applications listed on the attached Form PTO-1449 which may contain technical subject matter related to that of the present invention. Required copies of the applications are attached. This identification is not to be construed as a waiver of secrecy as to the cited applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination of the present application.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,

  
\_\_\_\_\_  
Stephen P. Burr  
Reg. No. 32,970

September 3, 2004  
\_\_\_\_\_  
Date

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Form PTO-1449

US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

1

*Complete if Known*

Application Number

10/671,763

Filing Date

September 26, 2003

First Named Inventor

Yukihisa TAKEUCHI

Art Unit

2879

Examiner Name

Anthony T. Perry

Confirmation No.

9308

Attorney Docket No.

789\_117

**U.S. PATENT DOCUMENTS**

| Exam. Initial |    | Document Number | Date       | Name          | Our Docket No. | Class | Sub Class | Filing Date |
|---------------|----|-----------------|------------|---------------|----------------|-------|-----------|-------------|
|               | CI | 6,313,815       | 11/06/2001 | Takeda et al. |                |       |           |             |
|               | CJ | 6,285,123       | 09/04/2001 | Yamada et al. |                |       |           |             |
|               | CK | 5,666,019       | 09/09/1997 | Potter        |                |       |           |             |
|               |    |                 |            |               |                |       |           |             |
|               |    |                 |            |               |                |       |           |             |
|               |    |                 |            |               |                |       |           |             |

**U.S. RELATED COPENDING APPLICATIONS**

| Exam. Initial |    | Application/<br>Publication Number | Filing/<br>Publication Date | Inventor Name   | Our Docket No. | Class | Sub Class |
|---------------|----|------------------------------------|-----------------------------|-----------------|----------------|-------|-----------|
|               | CL | 10/919,747                         | 08/17/2001                  | Takeuchi et al. | 789_118 CIP2   |       |           |
|               | CM | 10/901,932                         | 07/29/2004                  | Takeuchi et al. | 789_118 CIP5   |       |           |
|               | CN | 10/919,678                         | 08/17/2004                  | Takeuchi et al. | 789_133        |       |           |

**FOREIGN PATENT DOCUMENTS**

|  |  | Document Number | Date | Country | Class | Sub Class | Translation | Abstract |
|--|--|-----------------|------|---------|-------|-----------|-------------|----------|
|  |  |                 |      |         |       |           |             |          |
|  |  |                 |      |         |       |           |             |          |

**OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages etc.)

|  |  |  |
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Examiner:

Date Considered:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*In accordance with the Notice dated July 11, 2003 on the PTO website, we are not enclosing copies of any of the above U.S. references.